



Dear Attorney General Garland,

We, faculty members at the University of Michigan, are writing this open letter to express our concerns about the U.S. Department of Justice's China Initiative. We agree that concerns about Chinese government-sanctioned activities including intellectual property theft and economic espionage are legitimate and important to address to protect our national and economic security. But as pointed out by John Hemann, a former federal prosecutor who worked on one of the initial China Initiative investigations, pressure to demonstrate success – to “show statistics... has caused a program focused on the Chinese government to morph into a people-of-Chinese-descent initiative” (Ellen Nakashima and David Nakamura, Washington Post September 15, 2021). We think this view is well supported by recent high-profile cases where Chinese-born scientists (now US citizens) were criminally charged by the federal government, only to be acquitted or to have the charges dismissed when brought to trial. These failed prosecutions raise concerns that they were driven by racial profiling. As the President's Science Advisor, Dr. Eric Lander, stated on August 10, 2021: “We have to assiduously avoid basing policies or processes on prejudice – including those that could fuel anti-Asian sentiments or xenophobia.” We think that the China Initiative is one such policy and ask that you terminate the China Initiative and replace it with an appropriate response that avoids the flaws of the current initiative.

More specifically, we think the China Initiative suffers from the following fundamental flaws: First, the China Initiative amounts to profiling. Publicly available information indicates that investigations are often triggered not by any evidence of wrongdoing, but instead because of a researcher's connections with China. (For example, see recent article and the research by Prof. Jenny Lee quoted therein. ref: Has the Hunt for Chinese Spies Become a Witch Hunt?, Karin Fischer, Chronicle of Higher Education, August 11, 2021). Racial profiling – even when undertaken in pursuit of justice – is both inconsistent with U.S. law and with the principles underlying our society. Further, in many cases the federal response seems disproportionate and inappropriate. In some cases, federal agents associated with the China Initiative have prosecuted researchers without solid evidence. Moreover, these actions do not just affect the prosecuted faculty but affect many more university researchers who are targeted, investigated, and feel threatened by inquiries from federal branches including research funding agencies that are initiated without prior evidence of significant wrongdoing. Universities and research institutions are often pressured to investigate researchers who are singled out only because of their personal or professional connections with China.

Second, in most of the China Initiative cases involving academics, the alleged crime has nothing to do with scientific espionage or intellectual property theft. Most are for misconduct such as

failure to disclose foreign appointments or funding. While such problems should be addressed, they should not be confused with national security concerns. Due to the openness of scientific research in academia, it is not surprising that the China Initiative has not led to more espionage-related prosecutions. It is misleading to the public that such prosecutions on unrelated crimes are presented as efforts combating national security threats.

Third, the China Initiative is harming the U.S. science and technology enterprise and the future of the U.S. STEM workforce. Since World War II, the U.S. has benefited from an influx of many of the most talented scientists from around the world, including a large number from China. The University of Michigan is emblematic of this: this academic year, we have almost 2,000 graduate students from China, Macau, and Hong Kong (20.3% of our total). They, and their predecessors, have been integral to the success of our research and educational missions. Based on past experience, the majority of these students will choose to remain in the U.S. and many will become leaders in their respective disciplines. In recent years, the China Initiative (and some other actions of the federal government) has created an increasingly hostile atmosphere for Chinese Americans, visitors, and immigrants of Chinese origin, which has already discouraged many scholars from coming to, or staying in, the U.S. This seriously hampers our efforts to recruit the best Chinese students and postdoctoral scholars, negatively impacting academic research for years to come.

The difference between the open fundamental research carried out at universities and more applied and proprietary industrial or military research in the commercial sector must be recognized. Many of our most challenging global problems, including climate change and sustainability, and current and future pandemics, require international engagement. Without an open and inclusive environment that attracts the best talents in all areas, the United States cannot retain its world leading position in science and technology. In some China Initiative cases, normal academic activities that we all do, such as serving as referees and writing recommendation letters, are adduced as evidence of “extensive dealings with the PRC” (ref: <https://www.documentcloud.org/documents/20452311-gang-chen-federal-affidavit>). Such actions are based on a significant misunderstanding of how scientific research works. They are detrimental to international collaboration. Instead of protecting the national security of the U.S., we think such actions harm the U.S.’s ability to innovate.

We strongly urge you to terminate the China Initiative and develop an alternative response to the challenges posed by our relations with the People’s Republic of China, one that avoids racial profiling and discouraging beneficial and important collaborations and influx of talented personnel.

Sincerely yours,
Concerned faculty members (signatory names listed on next pages)

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Weihua	Zhou	Research Investigator	Radiation Oncology
Xiaofeng	Zhou	Research Assistant Professor	Microbiology & Immunology
Junjie	Zhu	Professor	Department of Physics
Haojie	Zhu	Associate Professor	Pharmacy
Qiang	Zhu	Professor and Chair	Computer and Information Science, Dearborn
Ji	Zhu	Professor	Statistics
Michael	Zieve	Professor	Mathematics
Brian	Zink	Professor	Emergency Medicine
Weiping	Zou	Professor	Pathology, Immunology, Biology, and Surgery
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